

Practitioner's Docket No. 2354/114



Sector \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sammes, Nigel; Murray, Tracy; Brown, Michael; and Ziegler, Warren
Application No.: 09/864,070 Group No.: 1745
Filed: May 22, 2001 Examiner: Not assigned
For: Electrode-Supported Solid State Electrochemical Cell

Box Missing Part
Commissioner for Patents
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 23, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

SMALL ENTITY STATUS

III. A statement that this filing is by a small entity is attached.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) ____ - ____.

Signature

Date: August 22, 2001

Keith J. Wood

(type or print name of person certifying)

COMPLETION FEES

IV.

1. Filing Fee

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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2. Fees for Claims

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$240.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$594.00
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3. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
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Total Completion Fees	\$1,294.00
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EXTENSION OF TIME

V. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VI. The total fee due is:

Completion fees	\$1,294.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1,294.00

PAYMENT OF FEES

VII. Enclosed is a check in the amount of 1,294.00.

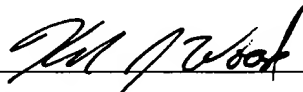
Please charge Account No. 19-4972 for any fees that may be due by this paper.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

VIII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims) 37 C.F.R. Section 1.16(e)
(surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))
37 C.F.R. Section 1.17 (application processing fees)

Date: August 22, 2001



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sammes, Nigel; Murray, Tracy; Brown, Michael; and Ziegler, Warren

Application No.: 09/864,070

Group No.: 1745

Filed: 05/22/2001

Examiner: Not assigned

For: Electrode-Supported Solid State Electrochemical Cell

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE TO FILE MISSING PARTS mailed on July 23, 2001 attached please find:

- (a) the formal drawing(s) for this application.
Number of Sheets: 7

Each sheet of drawing indicates the identifying indicia suggested in Section 1.84(c) on the front of the drawing.

- (b) a copy of the NOTICE TO FILE MISSING PARTS.

CERTIFICATE OF MAILING (37 C.F.R. section 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

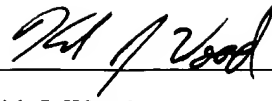
Date: August 22, 2001

Keith J. Wood

(type or print name of person mailing paper)

Signature of person mailing paper

Date: August 22, 2001



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,070	05/22/2001	Nigel Sammes	2354/114

CONFIRMATION NO. 1011

02101
BROMBERG & SUNSTEIN LLP
125 SUMMER STREET
BOSTON, MA 02110-1618

FORMALITIES LETTER



OC000000006326363

Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/27/2001 EABUBAK1 00000069 09864070

01 FC:201	355.00 OP
02 FC:202	240.00 OP
03 FC:203	594.00 OP
04 FC:205	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1668.
 - \$1188 for 66 total claims over 20.
 - \$480 for 6 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2508.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*A copy of this notice **MUST** be returned with the reply.*

13.13

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE